

# A Short Introduction to Constructive Algorithmics

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# Constructive Algorithmics

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- Constructive Algorithmics:  
“Methods for calculating programs from their specifications, and the design of notations for such calculation; also, the investigation of software support for the calculational process.”
  - <http://www.cs.auckland.ac.nz/research/groups/CDMTCS/docs/ca.html>



# Outline

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- Notations
  - BMF (Bird-Meertens Formalism)
  - Lists and their operations
- Program Calculation (Program Transformation)
  - Homomorphisms
  - Transformation rules
  - Calculation Example
- Extended Topics



# Notations

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# Functions

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- Function application is written without brackets
  - $f\ a$  means  $f(a)$
- Function are curried and associate to the left
  - $f\ a\ b$  means  $(f\ a)\ b$
- Function composition
  - $(f \cdot g)\ x = f(g\ x)$
- Binary operators can be sectioned
  - $(\oplus)\ a\ b = (a\oplus)\ b = (\oplus b)\ a = a\ \oplus\ b$



# List

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- Lists are finite sequence of values of the same type.
- Any list can be constructed with the following two operations:
  - Nil: An empty list
    - ✓ Denoted by `[]`
  - Cons: Put an element before a list
    - ✓ Denoted by `a : as`
- Example: `[1, 2, 3] = 1 : (2 : (3 : []))`
- We may call such a list as “cons list”



# Join List

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- Another way to construct a list
- We use three operations to construct a list
  - Nil: An empty list
    - ✓ Denoted by  $[]$
  - Singleton: A list with a single element
    - ✓ Denoted by  $[a]$  or  $[\cdot] a$
  - Join: Concatenation of two smaller lists
    - ✓ Denoted by  $x ++ y$
    - ✓ Concatenation is associative



# Map

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- Map (denoted by  $*$ ) takes a function and a list, and applies the function to each element of the list
- Informally:

$$f * [a_1, a_2, \dots, a_n] = [f a_1, f a_2, \dots, f a_n]$$





# Reduce

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- Reduce (denoted by  $/$ ) takes an associative operator and a list, and collapse the list into a value with the operator.
- Informally:

$$\oplus / [a_1, a_2, \dots, a_n] = a_1 \oplus a_2 \oplus \dots \oplus a_n$$



# Directed Reduce

- Left-to-right reduce (denoted by  $\rightarrow$ ) is a reduce-like operation, but it can take non-associative operator.

- Informally:

$$\oplus \rightarrow_e [a_1, a_2, \dots, a_n] = ((e \oplus a_1) \oplus \dots \oplus a_n)$$

- We can consider right-to-left reduce  $\leftarrow$



# Accumulation (Scan)

- Left-accumulate (denoted by  $\# \rightarrow$ ) takes an operator and a list, and computes on the list by accumulating the values with the operator.
- Informally:

$$\begin{aligned} \oplus \# \rightarrow_e [a_1, a_2, \dots, a_n] \\ = [e, e \oplus a_1, \dots, ((e \oplus a_1) \oplus \dots \oplus a_n)] \end{aligned}$$



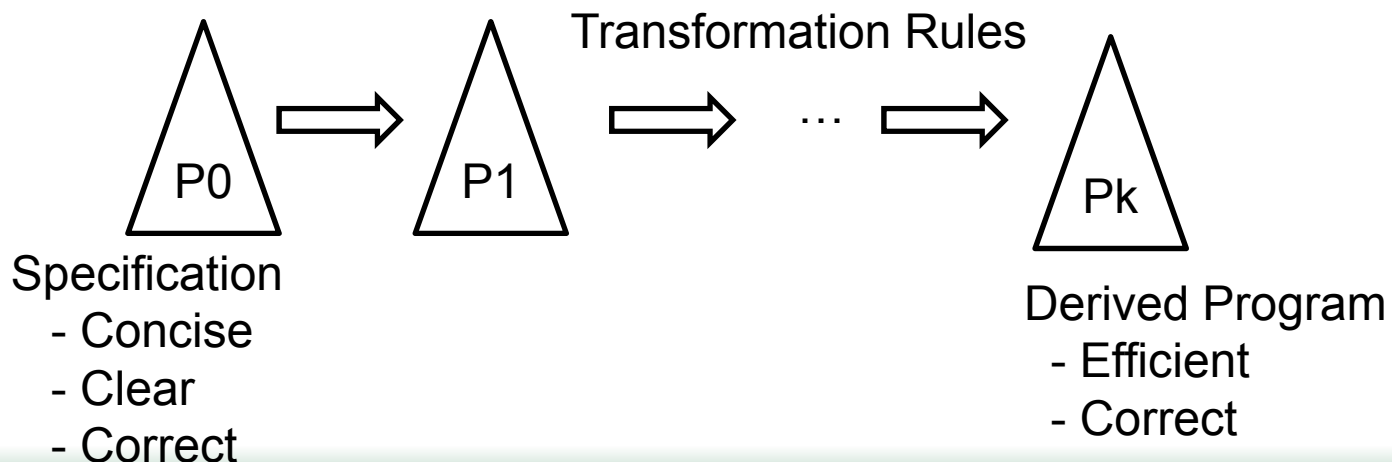
# Program Calculation

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# Idea of Program Calculation

- Starting from a (mathematical) specification, we derive a program by applying transformation rules
  - Concise and clear specification
  - Transformation rules proved to be correct
  - Systematic / mechanical / automatic derivation





# Homomorphism

- A function  $h$  defined in the following form is called homomorphism.

$$h [] = id_{\oplus}$$

$$h [a] = f a$$

$$h (x ++ y) = h x \oplus h y$$

- It maps a monoid  $([\alpha], ++, [])$  to  $(\beta, \oplus, id_{\oplus})$
- Property:  $h$  is uniquely determined by  $f$  and  $\oplus$



# Homomorphism Theorems

- First homomorphism theorem:
  - Every homomorphism can be written as the composition of a map and a reduce.
  - $\text{hom} (\oplus) f = (\oplus /) \cdot (f^*)$
- Third homomorphism theorem:
  - If a function  $h$  can be defined as
 
$$h ([a] ++ x) = a \oplus h x$$

$$h (y ++ [b]) = h y \otimes b$$
 then,  $h$  is a homomorphism.
  - This theorem also works as a parallelization theorem.  
 [Morita et al., PLDI 2007]



# Rules (1)

- Horner's rule:

- E.g.  $(a_1 \times a_2 \times a_3) + (a_2 \times a_3) + a_3 + 1 = ((1 \times a_1 + 1) \times a_2 + 1) \times a_3 + 1$

- $\oplus / \cdot \otimes / * \cdot \text{tails} = \odot \not\rightarrow_e$

- ✓ Distributivity over  $\otimes$  and  $\oplus$  is important

- Promotion:

- $f * \cdot ++ / = ++ / \cdot (f*)*$

- $\oplus / \cdot ++ / = \oplus / \cdot (\oplus /)*$





## Rules (2)

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$$inits [a_1, a_2, \dots, a_n] = [[], [a_1], [a_1, a_2], \dots, [a_1, a_2, \dots, a_n]]$$

- Accumulation:

$$(\oplus \# \rightarrow_e) = (\oplus \nearrow_e) * \cdot inits$$



# Example (1)

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- The maximum segment sum problem:  
 Compute the maximum of sums of all segments  
 of a given sequence of numbers

$$mss [3, 1, -4, 1, 5, -9, 2] = 6$$

- Concise and clear solution (specification)

$$mss = \uparrow / \cdot + / * \cdot segs$$

- But, slow:  $O(n^3)$



## Example (2)

- Calculating a linear-time algorithm

$$\uparrow / \cdot + / * \cdot \textit{segs}$$

$$= \{ \text{definition of } \textit{segs} \}$$

$$\uparrow / \cdot + / * \cdot ++ / \cdot \textit{tails} * \cdot \textit{inits}$$

$$= \{ \text{map and reduce promotion} \}$$

$$\uparrow / \cdot (\uparrow / \cdot + / * \cdot \textit{tails}) * \cdot \textit{inits}$$

$$= \{ \text{Horner's rule with } a \odot b = (a + b) \uparrow 0 \}$$

$$\uparrow / \cdot \odot \not\rightarrow_0 * \cdot \textit{inits}$$

$$= \{ \text{accumulation lemma} \}$$

$$\uparrow / \cdot \odot \not\#_0$$



# Extended Topics

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# List $\rightarrow$ Other data structures

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- Matrix (2D array)
  - Data is constructed with `Singleton`, `JoinX`, `JoinY`
  - Some property is needed on `JoinX/JoinY`
- Binary tree
  - Data is constructed with `Leaf` and `Node`  
`Node :: a -> (Tree a) -> (Tree a)  $\rightarrow$  Tree a`
- We can apply generic-programming technique (category-theoretic approach) to formalize other data structures (e.g., rose trees)



# Relations

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- Extend functions into relations
  - Studied by the group of Richard Bird (Oxford Univ.)
- Formalization of non-deterministic computations
- Example: Thinning theorem
  - Formalization of optimization problems (dynamic programming / approximation)
  - [Mu et al., WGP 2010.]



# Optimization Problems

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- Derive a dynamic-programming algorithm from the problem specification
  - Use algebraic properties of operators
- Examples
  - Maximum marking problem (Extension of mss)
    - ✓ Sasano et al. ICFP 2000
  - Generic maximum marking problem
    - ✓ Emoto et al. ESOP 2012



# Parallel Programming

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- Use of patterns as algorithmic skeletons
  - SkeTo parallel skeleton library
    - ✓ Data structures: lists, matrices, and trees
    - ✓ Skeletons: map, reduce, scan (and others)
- Third homomorphism theorem for parallelization
  - A tool for parallelization [Morita et al. PLDI 2007]
  - Parallelization for tree problems [Moriyama et al. POPL 2009]





# Tools

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- Fusion optimization
  - Strong optimization in Haskell
  - Warm fusion: a powerful fusion rule based on list homomorphism
  - Stream fusion: a structure-generic fusion mechanism
- Yicho system
  - A tool for program calculation
  - Hylomorphism (extension of homomorphism)
  - [Yokoyama et al. APLAS 2002]



# Conclusion

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## 3 Important Aspects of Constructive Algorithmics

- Notations and patterns
  - Bird-Meertens Formalism (BMF)
  - Functional modeling of
- Program Calculations
  - Systematically deriving programs from the specification
  - Theorems for homomorphisms
- Supporting Tools
  - For systematic/automatic derivation of programs
  - Domain-specific tools for program derivation



# References

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- Lecture notes about constructive algorithmics by Zhenjiang Hu (NII Japan)
  - [http://research.nii.ac.jp/~hu/pub/teach/pm06/CA\[1-4\].pdf](http://research.nii.ac.jp/~hu/pub/teach/pm06/CA[1-4].pdf)